

ATTENTION ATTENTION ATTENTION

DATE PROCESSED :

2-24-04

PROCESSED BY : B.M.



ACH PAYMENT

Attached is the most current ACH Information

TFW

OTPE



TREASURY CHECK

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer(EFT). No EFT Information Was Received

Therefore, If Customer Is Due A Refund:

A CHECK WILL BE ISSUED.



CREDIT TO DEPOSIT ACCOUNT

10,609,420

This Request for Refund Will Be Processed By Crediting

Deposit Account Number 06-1205

If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION

RAM Fee History
Query
Revenue Accounting and Management

Name/Number: 10609420

Total Records Found: 2

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
07/03/2003	00000034	<u>1</u>	<u>1001</u>	\$750.00	07/01/2003	CK
10/07/2003	00000012	<u>1</u>	<u>1203</u>	\$280.00	07/01/2003	DA 061205

No Refund



03560.002654.1

DEP E Res
4:30
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application of:

YASUO KOTAKI, et al.

Examiner: J. Nguyen

Application No.: 10/609,420

Group Art Unit 2861

Filed: July 1, 2003

For: LIQUID CONTAINER, CAP
USED WITH THE LIQUID
CONTAINER, AND
CAP-EQUIPPED LIQUID
CONTAINER

February 19, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

OFFICE OF PATENT
REFUND SERVICE
223 FEB 24 PM 4:23

Sir:

In connection with the above-identified application, Applicants request a refund of \$280.00 for a multiple dependent claim fee, which was erroneously charged to our Deposit Account 06-1205. It is requested that the refund be applied as a credit to that Deposit Account. The reason for the refund is explained below.

On July 1, 2003, Applicants submitted a Utility Patent Application and Preliminary Amendment, along with a check in the amount of \$750.00 for the filing fee (see attached copies of the Utility Patent Application Transmittal, Preliminary Amendment, and a postcard acknowledging receipt of the check). No multiple dependent claims fees were due at that time.

Applicant's attorneys received a Monthly Statement of Deposit Account, dated October 31, 2003, (copy attached) indicating that Deposit Account No. 06-1205 was charged \$280.00. It is respectfully submitted that this charge is not warranted.

Accordingly, Applicants hereby request a refund and authorizes the Commissioner to credit Deposit Account No. 06-1205 in the amount of \$280.00 to resolve this matter.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,


Attorney for Applicants

Registration No. 32,622

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

NY_MAIN 408665v1

STATUS: NO ENTRY
BRANCH

204 FEB 24 PM 3:20



Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Date 7.1.03
Atty. Docket # 0340.002634.1

Sir,

Kindly acknowledge receipt of the accompanying:

- ☒ 51 pages, with Transmittal Form, 1.00
☒ Specifications, claims and abstract 2 sheets
☒ Patent Application Bibliographic Data Sheet
☒ Executed Oath or Declaration and Power of Attorney
☒ 18 Sheets of 1 formal 1 informal drawings
☒ Check for \$ 350.00 (filing fee)
☐ Request for Continued Examination had Check for \$
☐ Assignment, PTO-1595 and Check for \$
☐ Transmitted Under 37 CFR 1.53(d) (CPA)
☐ Petition under 37 CFR 1.136 and check for \$
☒ Other (specify) Preliminary Amendment - Information Disclosure Statement - Claim to Priority
 by placing your receiving date stamp/initials and mailing or returning to deliver.
 This is a ☐ Continuation ☒ Divisional ☐ Continuation-In-Part
 Atty. MKN Due Date 7.1.03 37 CFR 1.8 ☐
 By Hand MD 37 CFR 1.10 ☒





**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	03560 002854-1
First Named Inventor or Application Identifier	Yasuo Kotaki
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification Total Pages <u>51</u>	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets <u>18</u>	b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper
5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <u>2</u>	c. <input type="checkbox"/> Statements verifying identity of above copies
a. <input type="checkbox"/> Newly executed (original or copy)	
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input checked="" type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input checked="" type="checkbox"/> Other: <u>Claim To Priority</u>	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

<input type="checkbox"/> Continuation	<input checked="" type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP) of prior application No. <u>09 / 678,024</u>
Prior application information: Examiner <u>Judy Nguyen</u> Group/Art Unit: <u>2861</u>		

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

05514

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or	<input type="checkbox"/> Correspondence address below
NAME			
Address			
City		State	Zip Code
Country		Telephone	Fax



35,000.00
BANK

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))		7 -20 =	0	X \$ 18.00 =	24 18.00 = 432.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))		1 -3 =	0	X \$ 84.00 =	\$ 0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				\$280.00 =	\$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$ 750.00
				Total of above Calculations =	\$ 750.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).	
				TOTAL =	\$ 750.00

19. Small entity status
- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.
20. ☒ A check in the amount of \$ 750.00 to cover the filing fee is enclosed.
21. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed.
22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Michael K. O'Neill, Reg. No. 32,622
SIGNATURE	
DATE	June 30, 2003



03560.002654.1

STATUS AND ENTRY
DRAFT
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FOR FEB 24 PM 3:20

In re Divisional Application of:)
:)
YASUO KOTAKI, et al.)
:)
Application No.: Not Yet Assigned)
:)
Filed: Concurrently Herewith)
:)
For: LIQUID CONTAINER, CAP)
:)
USED WITH THE LIQUID)
:)
CONTAINER, AND)
:)
CAP-EQUIPPED LIQUID) June 30, 2003
:)
CONTAINER)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT
AND
INFORMATION DISCLOSURE STATEMENT

Sir:

Prior to examination, please amend the above-identified application, as
follows:

STATUS AND LIGHTY
LEWIS

IN THE SPECIFICATION:

2001 FEB 24 PM 3:20

Page 1, before the first line, insert the following:

This application is a division of U.S. Application No. 09/678,024, filed
October 4, 2000.

BRIDGE AND GENTRY
BRANCH

IN THE CLAIMS:

201 FEB 24 PM 3:20
Cancel Claims 1 to 5 without prejudice or disclaimer of subject matter.

REMARKS

STATUS AND PRIORITY
BRANCH

EXAMINED 24 FEB 20

Claims 6 to 12, which were restricted out of parent Application No.
09/678,024, have been re-presented for an action on the merits..

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.56, Applicants respectfully direct the Examiner's
attention to the documents listed below and on the enclosed Form PTO-1449. A copy of
each document so listed is enclosed.

U.S. Patent 5,557,310
U.S. Patent 5,589,862
U.S. Patent 5,619,237
U.S. Patent 5,742,310
U.S. Published Application No. 2001/0045977
U.S. Published Application No. 2002/0175977
Japan 8-058107
Japan 8-224883
Japan 8-276601
Japan 10-296993

These documents were cited in prior parent Application No. 09/678,024,
and might be deemed pertinent for the reasons given there. The Examiner is respectfully
directed to the Patent and Trademark Office files for review of these documents. See
MPEP § 609. Additionally, the Examiner is requested to indicate that this information has
been considered by initialling the appropriate portion of the attached form PTO-1449.

STATUS AND ENTITY
BRANCH

Applicants' undersigned attorney may be reached in our Costa Mesa,
California office by telephone at (714) 540-8700. All correspondence should continue to
be directed to our below listed address.

Respectfully submitted,


Attorney for Applicants

Registration No. 32622

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

CA_MAIN 65850 v 1

03560.002654.1

SERIAL AND PRIORITY
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILED 24 JUN 20 2003

In re Divisional Application of:)
:)
YASUO KOTAKI, et al.)
:)
Application No.: Not Yet Assigned)
:)
Filed: Concurrently Herewith)
:)
For: LIQUID CONTAINER, CAP)
USED WITH THE LIQUID)
CONTAINER, AND)
CAP-EQUIPPED LIQUID) June 30, 2003
CONTAINER)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CLAIM TO PRIORITY

Sir:

Applicants hereby claim priority under the International Convention and all rights to which they are entitled under 35 U.S.C. § 119 based upon the following Japanese Priority Application:

Japan 283410/1999, filed October 4, 1999.

A certified copy of the priority document was filed in parent Application

No. 09/678,024.

STATUS AND
BRANCH

201 FEB 24 PM

Applicants' undersigned attorney may be reached in our Costa Mesa,
California office by telephone at (714) 540-8700. All correspondence should continue to
be directed to our below listed address.

Respectfully submitted,


Attorney for Applicants

Registration No. 32622

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

CA_MAIN 85852 v 1



UNITED STATES
PATENT AND
TRADEMARK OFFICE

STATUS AND ENTRY
BRANCH

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

2004 FEB 24 PM 3:20

Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

To replenish your deposit account, detach and
return top portion with your check. Make check
payable to Director of Patents & Trademarks.

FITZPATRICK CELLA HARPER & SCINTO

FINA

30 ROCKEFELLER PLAZA

NEW YORK NY 10112-3801

Account No.	061205
Date	10-31-03
Page	2

PLEASE SEND REMITTANCES TO:
U. S. Patent and Trademark Office
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Chicago, IL 60673

DATE POSTED MO. DAY YR.	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
10 7 03	1	6534777	00684.002535.Z	1811	100.00	75306.00
10 7 03	6	10161731	00862.022626	1806	180.00	75126.00
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10 7 03	16	10083585	03560.002998	1201	84.00	74762.00
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10 8 03	3	PCT/US03/28197	01573.001200.	1602	250.00	74097.00
10 8 03	38	09514864	36J.P243	1460	130.00	73967.00
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10 9 03	357	10309880	03650002053	8007	60.00	74634.00
10 10 03	3	09385056	35.C13758	1252	-300.00	74934.00
10 10 03	8	09580026	02658.000400	1203	280.00	74654.00
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10 10 03	92	09974779	00050097000	8013	25.00	74564.00
10 10 03	103	10680002	02910.0000972	9204	-1190.00	75754.00
10 10 03	137	09519990	862.C1855	8001	15.00	75739.00
10 10 03	270	10211168	02658000900	8007	20.00	75719.00
10 14 03	34	09271887	35.C13403	8001	30.00	75689.00
10 14 03	271	06493763	2152	8013	25.00	75664.00
10 15 03	13	PCT/US03/05388	03944.000004.Q	9204	-260.00	75924.00
10 15 03	107	10287621	0190700P136	8007	20.00	75904.00
10 15 03	304	0137450542	0190700P136	8014	25.00	75879.00
10 16 03	16	09585606	03500.014538	9204	-300.00	76179.00
10 16 03	184	6623354	01384002050	8013	25.00	76154.00
10 16 03	254		02876000100	8007	20.00	76134.00
10 17 03	178	10119707	00684.002542	1201	172.00	75962.00
10 20 03	2	09691234	862.C2032	1201	86.00	75876.00
10 20 03	3	09691234	862.C2032	1202	90.00	75786.00
10 20 03	3	1069307	NOT IN DATA SYSTEM	8009	120.00	75666.00

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
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